

Aspects of carbon dioxide utilization

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Abstract

Carbon dioxide reacts with hydrogen, alcohols, acetals, epoxides, amines, carbon–carbon unsaturated compounds, etc. in supercritical carbon dioxide or in other solvents in the presence of metal compounds as catalysts. The products of these reactions are formic acid, formic acid esters, formamides, methanol, dimethyl carbonate, alkylene carbonates, carbamic acid esters, lactones, carboxylic acids, polycarbonate (bisphenol-based engineering polymer), aliphatic polycarbonates, etc. Especially, the productions of formic acid, formic acid methyl ester and dimethylformamide with a ruthenium catalyst; dimethyl carbonate and urethanes with a dialkyltin catalyst; 2-pyrone with a nickel-phosphine catalyst; diphenyl carbonate with a lead phenoxide catalyst; the alternating copolymerization of carbon dioxide and epoxides with a zinc catalyst has attracted attentions as the industrial utilizations of carbon dioxide. The further development of these production processes is expected.

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1. Introduction

Urea is manufactured about 100 million tons per year by using carbon dioxide [1,1a], and consumed mainly as a chemical fertilizer, urea resins, urea-melamine resins, an animal feed additive, etc. The other organic chemicals such as alkylene carbonates (solvent), β -oxynaphthoic acid (raw materials of dyes), salicylic acid and its derivatives (pharmaceuticals, food preservatives, etc.) are also produced in small amounts. It is estimated that these organic chemicals obtained by utilizing carbon dioxide are produced only less than 0.2 billion tons per year in the world [1b].

Recently, the amounts of the carbon dioxide in the air have been changing as follows [1b,2].

The amount of air is 5.3×10^{21} g (1 ppm = 5.3 billion tons) on the earth [3]. Carbon dioxide has increased by 90 ppm (480 billion tons) in about 200 years since 1800. Recently, it has increased by about 1.5 ppm (8 billion tons) per year [1b,2]. Therefore, now, it is difficult to decrease the amounts of carbon dioxide in the air by consuming it by the production of these organic chemicals as shown in Table 1 [1,2].

Nevertheless, chemists have to put more effort to increase the utilization of carbon dioxide by producing such organic

chemicals. Unfortunately, carbon dioxide is a very stable compound, that is, a not very reactive compound, because carbon dioxide is a highly oxidized and thermodynamically stable compound, and its utilization in redox reactions requires high-energy substances or electro-reductive processes [4]. Therefore, it is required to find highly reactive metal catalysts. The investigations of the reaction between carbon dioxide and metal compounds, as transition elements, e.g., Ni [5–9], Pd [10,11], Pt [22], Co [12,55], Rh [13,14,22,34], Ir [15–18], Fe [19–21,34,53], Ru [22–26,34,53], Mn [27–29], Re [27,30–34], Cr [35], Mo [35–39], W [35,38–41], V [42], Nb [43,44], Ta [45], Ti [46–49], Zr [50–53] and U [54], and as non-transition elements, e.g., Mg [60], Zn [55,56], Sn [57,58], Cu [59] and Ag [59] have been carried out. The X-ray structures of these reaction products between carbon dioxide and the metal compounds such as Ni [8], Rh [14], Ir [16–18], Ru [24,25], Mn [28], Re [31], Mo [37,38], Ti [46,48,49], Zr [50,51], Zn [55] and Mg [60], have been reported. The reviews of these reports have also been published [61–64,64a].

Carbon dioxide reacts with hydrogen, alcohols, acetals, epoxides, amines, carbon–carbon unsaturated compounds and oxetanes in the presence of metal compounds as catalysts. These reactions with carbon dioxide are carried out in two kinds of solvents, i.e., supercritical carbon dioxide and other solvents. Recently, the investigations of reactions in the former supercritical carbon dioxide have been increased [64b–64d].

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Table 1

Variations of carbon dioxide for about 1000 years [1,2]

Year	Period (year)	Concentration (ppm)	Increase (ppm)	Increase rate (ppm/year)
1000–1800	800	270–280	10	0.01
1800–1950	150	280–310	30	0.2
1958–1975	17	315–330	15	0.9
1975–2002	27	330–370	40	1.5

Table 2

Catalytic systems for the synthesis of formic acid by the hydrogenation of CO₂ [65,67,78,80–86]

Catalyst	Solvent	Additive	$p(\text{H}_2)/p(\text{CO}_2)$ (bar)	Temperature (°C)	TON ^a	Time (h)	TOF (h ⁻¹) ^b	References
TiCl ₄ /Mg	THF	Mg	1/1	RT	15	24		[82]
<i>cis</i> [Ru(6,6'-Cl ₂ bpy) ₂ (H ₂ O) ₂](CF ₃ SO ₃) ₂	EtOH	NEt ₃	30/30	150	5000	8		[83]
[{Rh(cod)(μ-H)} ₄]dppb ^c	DMSO	NEt ₃	20/20	RT	2200	18	375	[78]
[Rh(dcpb)(hfacac)] ^c	DMSO	NEt ₃	20/20	25	3005 ^d	31	1335	[84]
PdCl ₂	H ₂ O	KOH	106/40	240	340	3		[86]
[Rh(TPPTS) ₃ Cl] ^c	H ₂ O	HNMe ₂	20/20	25	3440	12	1365	[85]
[Ru(PMe ₃) ₃ (H) ₂]	scCO ₂	NEt ₃ , H ₂ O	85/120	50	7200		1400	[80]
[RuCl(OAc)(PMe ₃) ₄]	scCO ₂	NEt ₃ , C ₆ F ₅ OH	70/120	50			95000	[81]

^a Turnover number is the maximum total number of moles formic acid per metal center in the given reaction time.^b From the maximum initial rates of reaction; the TON and TOF are usually derived from different experiments.^c cod, Cyclooctadiene; dppb, Ph₂P(CH₂)₄ PPh₂; dcpb, Cy₂P(CH₂)₄PCy₂; hfacac, hexafluoroacetylacetonate; TPPTS, P(C₆H₄SO₃Na)₃.

This review reports on formic acid, formic acid esters, formamides, other hydrogenation products, carbonic acid esters, carbamic acid esters (urethanes), lactones, carboxylic acids, polycarbonate (bisphenol-based engineering polymer) and aliphatic polycarbonates and other polymers, etc.

2. Hydrogenation products

2.1. General considerations

The synthesis of formic acid and methyl alcohol by the hydrogenation of carbon dioxide, has been widely investigated for the utilization of carbon dioxide. Formic acid esters and formamides were also synthesized together with alcohols and amines, respectively, by the hydrogenation of carbon dioxide. These reactions were carried out with both homogeneous and heterogeneous catalysts. The heterogeneous catalysis can offer several technical advantages, which are linked with the stability, separation, handling and reuse of the catalysts and reactor design. Despite these beneficial practical features, the range of compounds that have been synthesized from CO₂ by heterogeneous catalytic routes is still comparatively narrow [4].

These reactions were carried out mainly with the homogeneous catalysts of Ru and Rh. In the supercritical carbon dioxide, the high turnover numbers of catalysts were achieved in these catalysts.

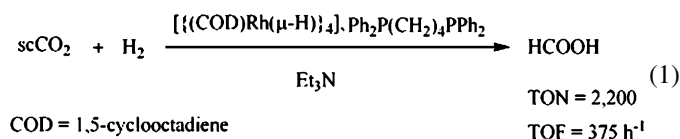
2.2. Formic acid

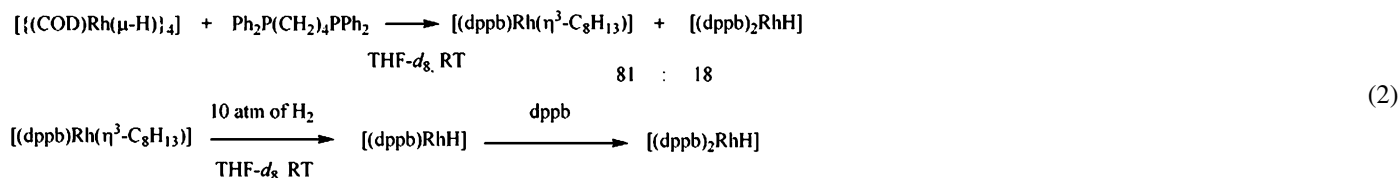
Formic acid is a hydrogenation product of carbon dioxide with 1 mol of hydrogen. In the insertion reaction of carbon

dioxide into metal hydrogen bond, many structures of the insertion products were confirmed by X-ray diffraction studies with each of the many transition metals shown in the former chapter. Many kinds of metal compounds such as Ti, Pd, Ru and Rh compounds were used as the catalysts for formic acid syntheses [65–87]. Especially, Ru and Rh catalysts show the highest catalytic activity. These reactions are shown in Table 2 [65,67,78,80–86].

The reactions of carbon dioxide were carried out in the presence of additives such as amines, KOH, Na₂CO₃ and water, in the supercritical carbon dioxide or the other solvents such as water, DMSO, THF, benzene, *i*-PrOH and EtOH.

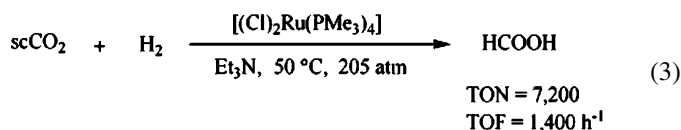
For example, carbon dioxide reacts with hydrogen in a solution of triethylamine and acetone in the presence of catalysts formed in situ from a tetrameric hydride cluster [{(COD)Rh(μ-H)}₄] (COD = 1,5-cyclooctadiene) and Ph₂P(CH₂)₄PPh₂ (=bis(diphenylphosphino)butane (dppb)) as shown in Eq. (1) [68,78]. The reaction showed a high turnover number (TON) (2200). During the reaction [(dppb)Rh(μ³-C₈H₁₃)] is formed as the major product of the reaction of the above hydride cluster and the phosphine. This rhodium complex is presumed to be the precursor of the catalyst and the active species which is considered to be a 14e species, i.e. [(dppb)RhH] and obtained by removing a cyclooctadiene moiety from the precursor as shown in Eq. (2).





In the hydrogenation of CO_2 for forming formic acid with a bidentate ruthenium phosphine catalyst, $\text{RhH}(\text{dppp})$ (dppp = bis(diphenylphosphino)propane), a reaction mechanism was theoretically proposed, wherein CO_2 is inserted into the $\text{Rh}(\text{I})\text{-H}$ bond of $\text{RhH}(\text{dppp})$, followed by the σ -bond metathesis of a rhodium(I) formate complex, $\text{Rh}(\eta^1\text{-OCOH})(\text{dppp})$, with molecular hydrogen, and formic acid is produced as shown in Scheme 1 [70,77,87].

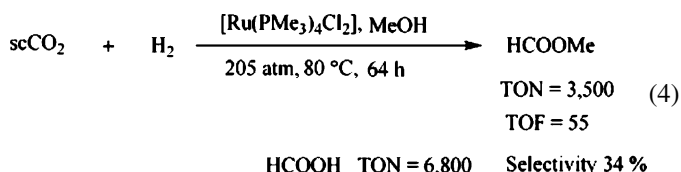
Jessop et al. [80] reported that ruthenium methylphosphine catalysis of the hydrogenation of CO_2 to formic acid could take place in a supercritical mixture of H_2 , CO_2 and NEt_3 without any additional solvent as shown in Eq. (3). With the ruthenium catalyst, 7200 mol of formic acid are formed per 1 mol of Ru with turnover frequencies (TOFs) up to 1400 h^{-1} . The presence of triethylamine and small amount of water is a prerequisite for the production of formic acid by the hydrogenation of CO_2 in its supercritical phase.



2.3. Formic acid esters

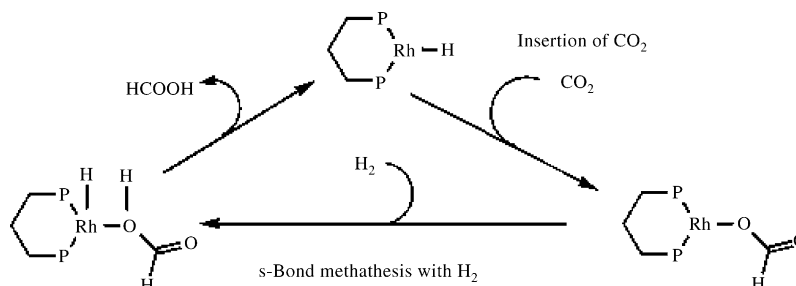
Formic acid esters are prepared by the hydrogenation of carbon dioxide in alcohol solvents as shown in Table 3 [65,88–91]. In many cases, amines or other basic additives have a positive effect on the course of the reaction. In the presence of CO_2 and hydrogen, formic acid is formed initially, and then its derivatives are formed under the reaction conditions.

The supercritical carbon dioxide reacts with hydrogen in the presence of MeOH to give methylformate as shown in Eq. (4). The reaction achieved a high TON (3500) [91].



2.4. Formamides

Carbon dioxide reacts with hydrogen in the presence of secondary amines to afford formamide derivatives in high yields as shown in Table 4 [67,92–97].



Scheme 1. [70,77,87]

Table 3

Catalytic systems for the synthesis of formic acid esters by the hydrogenation of CO_2 [65,88–91]

Catalyst	Solvent	Additive	$p(\text{H}_2)/p(\text{CO}_2)$	Temperature ($^\circ\text{C}$)	TON ^a	Time (h)	References
$[\text{Pd}(\text{dppe})_2]^b$	EtOH	EtOH, NEt_3	30/70	160	58	20	[88]
$[\text{Fe}_3(\text{CO})_{11}\text{H}]^-$	MeOH	MeOH	20/20	175	5.8	96	[89]
$[(\text{HCO}_2)\text{W}(\text{CO})_5]^-$	MeOH	MeOH	17/17	125	16.4	24	[90]
$[\text{Ru}(\text{PMe}_3)_4\text{Cl}_2]$	scCO ₂	MeOH, NEt_3	80/125 ^c	80	3500	64	[91]

^a Maximum total number of moles ester per mol catalyst in the given reaction time.

^b dppe, $\text{Ph}_2\text{P}(\text{CH}_2)_2\text{PPh}_2$.

^c At reaction temperature.

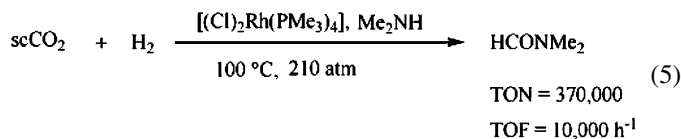
Table 4

Catalytic systems for the synthesis of formamides by the hydrogenation of CO₂ [67,92–97]

Catalyst	Solvent	Additive	$p(\text{H}_2)/>p(\text{CO}_2)$ (bar)	Temperature (°C)	Time (h)	Yield (%) ^a	TON ^b	TOF (frequency, h ⁻¹)	References
PdCl ₂	NMP	Me ₂ NH	80/40	170	1.5	99	34	23	[92]
[RuClL ₃ -Ph ₂ PCH ₂ CH ₂ PPh ₂ -AlEt ₃	Hexane	Me ₂ NH	30/30	130	6	72.5	3400		[93]
[Ru(PMe ₃) ₄ Cl ₂]	scCO ₂	Me ₂ NH	80/130	100	37	76	370000	10000	[94]
RuCl ₂ [Ph ₂ P(CH ₂) ₃ PPh ₂] ₂ ^c		Me ₂ NH	18.0 MPa	110				18400	[95]
RuCl ₂ [Ph ₂ P(CH ₂) ₂ PPh ₂] ₂		Me ₂ NH	8.5/13.0 MPa	100	2.05		740000	360000	[96]
RuCl ₂ [PH(CH ₂ OH) ₂] ₂ [P(CH ₂ OH) ₃] ₂	scCO ₂		216–220	100	48		10000		[97]

^a Yield of ester based on alcohol.^b Maximum total number of mols ester per mol catalyst in the given reaction time.^c Silica hydride aerogel support.

Noyori and co-workers [67,94] also reported the hydrogenation of carbon dioxide in its supercritical phase with dimethylamine. By using a catalyst [Ru(PMe₃)₄Cl₂], up to 370,000 mol of DMF (*N,N*-dimethylformamide) per 1 mol catalyst are found in less than 37 h as shown in Eq. (5). Under the comparatively drastic reaction conditions (100 °C, 210 atm), the condensation of dimethylammonium formate to DMF takes place in liquid phase. Most of the DMF formed then is dissolved in the supercritical phase, while the water content of the liquid phase increases. In this way, all of the dimethylamine used is converted into DMF quantitatively [65,94].



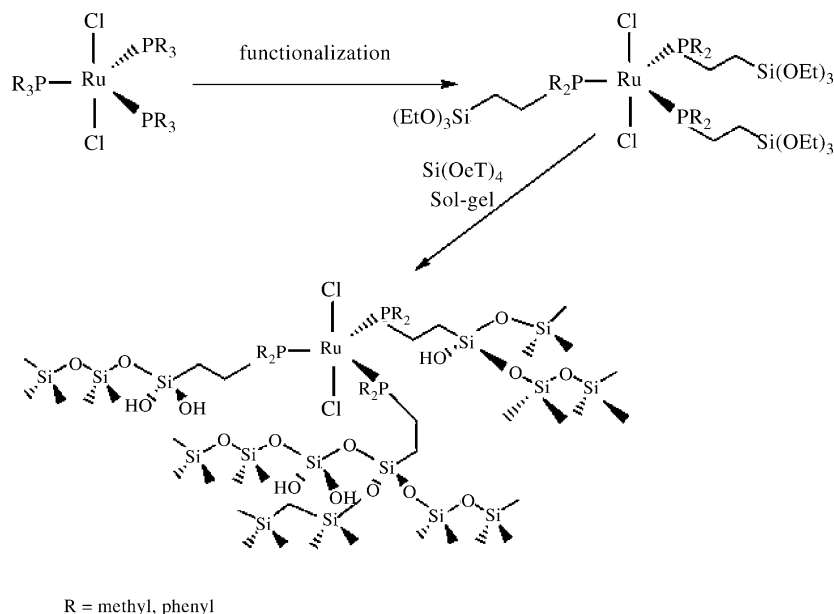
In 1997, with a ruthenium bidentate phosphine complex as a catalyst [96], much higher TON (740,000) and TOF (360,000) were achieved as shown in Table 4 [97].

Recently, DMF is also reported to be produced with heterogeneous ruthenium catalysts [4]. Silylether ruthenium complexes were anchored in a silica matrix by condensing with Si(OEt)₄ (tetraethoxysilane) as shown in Scheme 2 [4]. All gels were micro- to meso-porous and the organometallic complexes were immobilized as monomers. The hybrid gel catalysts can easily be separated from the reaction mixture. The silica matrix-stabilized ruthenium complexes affords the TOF up to 18,000 h⁻¹ and 100% selectivity from CO₂, H₂ and dimethylamine for the formation of DMF [4,95,96].

2.5. Other hydrogenation products

The hydrogenation of CO₂ to CO, the reverse water gas shift reaction, is shown in Eq. (6). The hydrogenation of CO₂ beyond formic acid, formaldehyde and CO produces methanol, methane and occasionally higher alcohols and hydrocarbons.

The heterogeneous catalysis of hydrogenation of CO₂ to CH₃OH and hydrocarbons has been widely investigated. However, very few research papers have described the homogeneous catalysis of such reactions. The thermodynamics are



Scheme 2. Design strategy for hybrid gel catalysts, illustrated using the example of ruthenium–silica hybrid gel [4]

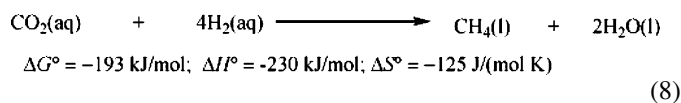
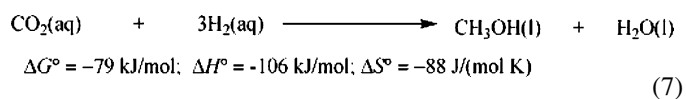
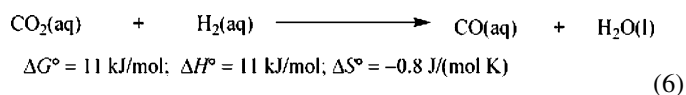
Table 5

Homogeneous hydrogenation of CO₂ to CH₃OH, CH₄, or other products [67,106–109]

Catalyst	Solvent	Additives	Temperature (°C)	Time (h)	CO (TON)	CH ₃ OH (TON)	CH ₄ (TON)	Other (TON)	References
[PdCl(dppm)] ₂	EtOH	NEt ₃	120	24	na	0	1.5	3.8 ^a	[108,109]
Ru ₃ CO ₁₂	NMP	KI	240	3	33	95	24	0.5 ^b	[106]
Ru ₃ CO ₁₂	NMP	I ₂	240	3	27	2	76	0.5 ^b	[106]
Ru ₃ CO ₁₂	NMP	ZnI ₂	240	3	73	4	4	0	[106]
Ru ₃ CO ₁₂	NMP	[PPN]Cl	200	5	68	16	5	0	[107]

^a HCOOEt.^b C₂H₆.

neutral or favorable because of the production of water from hydrogen as shown in Eqs. (6)–(8), but the economics are unfavorable for the same reason [67].



In the investigations with heterogeneous hydrogenation catalysis of CO₂, for example, methanol is prepared in 21.2% conversion of carbon dioxide at 356 g/l h GHSV (gas-hourly space velocity) of carbon dioxide in the presence of a Cu-Zn-Cr-Al-Pd catalyst at 250 °C temperature and under 50 atm pressure [98,99]. Ethanol is prepared in 44.4% conversion of carbon dioxide and 19.5% ethanol selectivity

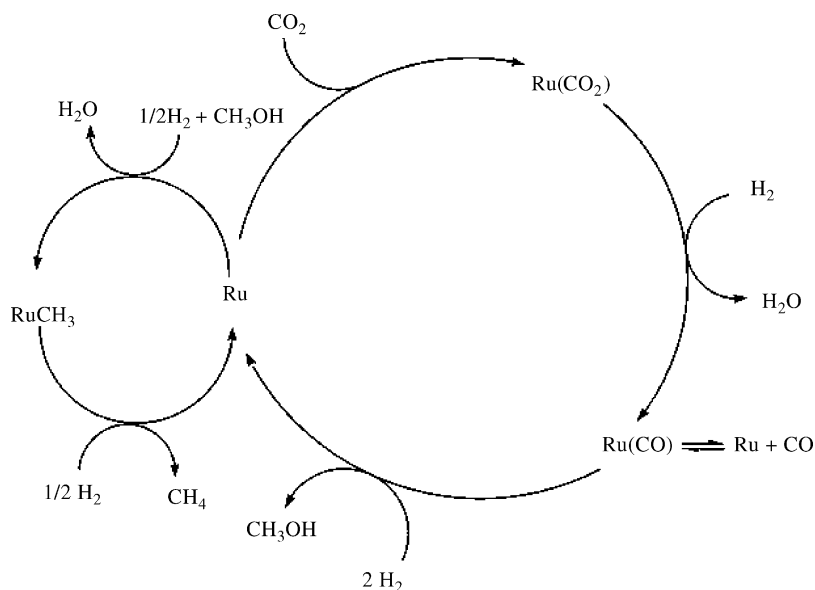
in the presence of a K/Cu-Zn-Fe catalyst at 300 °C, under 7 MPa [100–102].

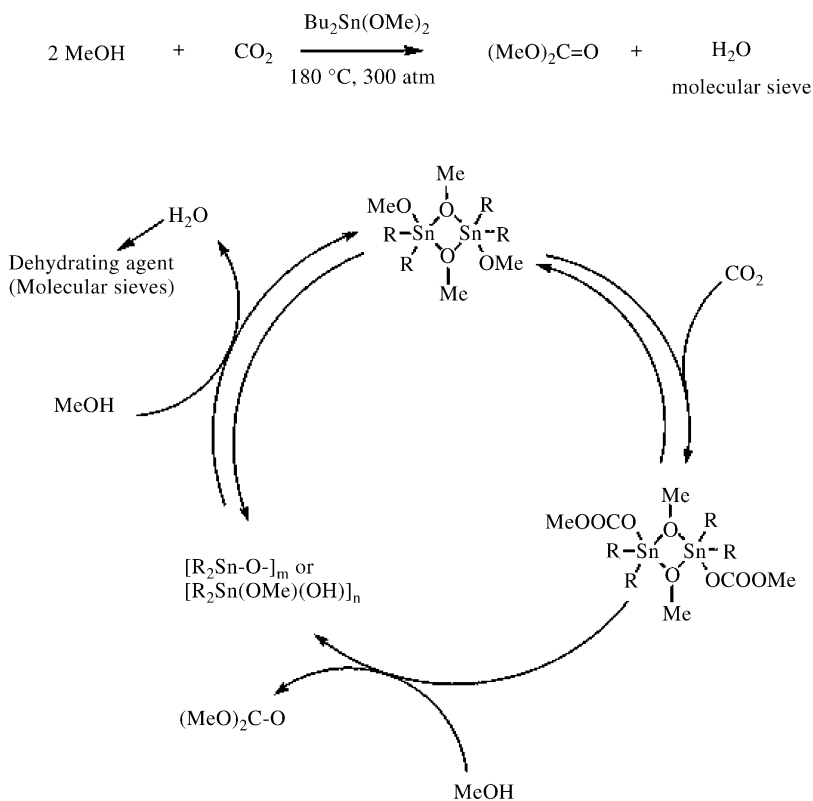
In 2001, Watanabe et al. [103–105] found a high selectivity and long life catalyst for manufacturing methanol from carbon dioxide and reported a kinetic study of the methanol synthesis at a test plant of 50 kg/day MeOH. The catalyst is a Cu/Zn-based multi-component catalyst (Cu/ZnO/ZrO₂/Al₂O₃/SiO₂). The reaction was carried out at 250 °C, 5 MPa and 10,000 h⁻¹ space velocity, and the selectivity of methanol was more than 99.8 mol% and the catalyst life was more than 1 year.

Reactions with ruthenium and palladium compounds as homogenous hydrogenation catalysts are shown in Table 5 [67,106–109]. The Ru homogeneous catalyst system catalyzed the reverse water gas shift reaction rapidly to give CO. Further reaction caused the amount of CO to decrease and methanol to increase. The production of methane and ethane was slower. An experiment with added extra methanol showed the increased yield of CH₄, suggesting that methanol hydrogenation was responsible for CH₄ formation as shown in Scheme 3 [67,106].

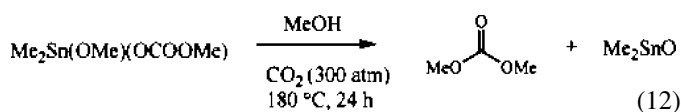
3. Carbonic acid esters

Carbonic acid esters have come to occupy an important position as useful intermediates for a variety of industrial and

Scheme 3. Hydrogenation of CO₂ to CO, CH₃OH and CH₄ [67,99]

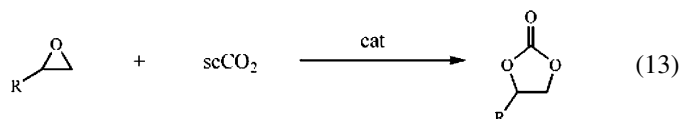


Upon heating to 180 °C in the supercritical CO₂ (300 atm), the methoxy-bridged dinuclear structure (B) was converted to dimethylcarbonate in a reasonable yield as shown in Eq. (12) [116].



The process shows a high selectivity in 50% conversion with a molecular sieve as a hydration agent. This system is more attractive compared with the synthesis using orthoesters or acetals as chemical dehydrating agents since the molecular sieve is easily recyclable, and there is no co-product. The reaction, at a total pressure 300 atm and at 180 °C for about 70 h gives 50% yield on the basis of methanol [117].

Carbon dioxide can react with oxiranes to give cyclic carbonates as shown in Eq. (13). The use of alkylene carbonates for the synthesis of dimethyl carbonate is extremely promising since the synthesis of ethylene carbonate utilizes abundantly available carbon dioxide (see Scheme 8). However, the advantage of using alkylene carbonates for the synthesis of dimethyl carbonate goes beyond the simple use of carbon dioxide. It actually allows one to avoid fighting the equilibrium in the direct synthesis of dimethyl carbonate from carbon dioxide and methyl alcohol [110]. Many catalysts have been investigated for the reaction of carbon dioxide with various kinds of oxiranes as shown in Table 6 [118–128].



In 2003, excellent process for propylene carbonate synthesis in the supercritical CO₂ in the presence of polyfluoroalkylphosphonium iodides as catalysts was reported as shown in Fig. 2 [129]. This reaction system has two prominent points: (i) the reaction in the supercritical CO₂ with polyfluoroalkyl phosphonium iodides (e.g. (C₆F₁₃C₂H₄)₃MePI) shows a high yield (93%) and high selectivity (99%), and (ii) propylene carbonate was spontaneously separated out of the supercritical CO₂ phase. Therefore, the catalyst could be recycled while maintaining a high CO₂ pressure and temperature by separating the propylene carbonate from the bottom of the reactor followed by supplying propylene oxide and CO₂ to the upper supercritical CO₂ phase in which the catalyst remained as shown in Fig. 2 [129].

4. Carbamic acid esters (urethanes)

Carbamic acid esters (urethanes) (NR₂COOR') obtained by reactions involving a CO₂/amine system, have played an important role in industrial chemistry. Their derivatives are the important precursors of pharmaceuticals, herbicides, fungicides and pesticides in an agricultural field, and as the precursors of isocyanides, which in turn, are intermediates in the production of high-performance plastics, polyurethanes,

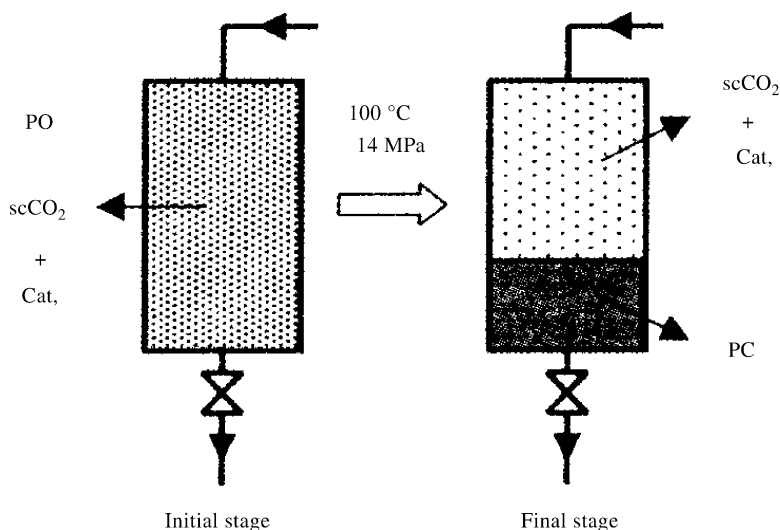
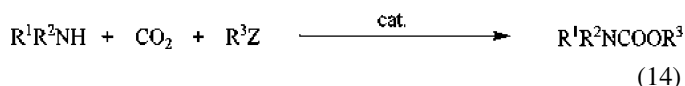


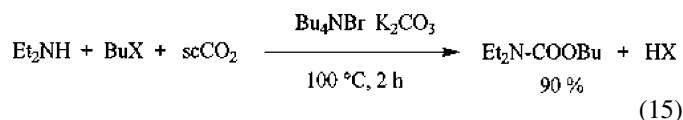
Fig. 2. Schematic diagram of the reaction behavior for the propylene carbonate synthesis from propylene oxide and supercritical CO₂ at 100 °C and 14 MPa. PO: propylene oxide, sc CO₂: supercritical CO₂, PC: propylene carbonate [129].

elastomers and adhesives [130]. The isocyanates (RNCO) are synthesized by the decomposition of these carbamates.

Carbamic acid esters are generally prepared by the reactions of the CO₂/amine with organic compounds such as organic halides, alcohols, organic carbonates, acetylenes, olefins, epoxides, organometallic compounds, etc. as shown in Eq. (14) [130–142].



These carbamates are synthesized in high yields by the reactions of the supercritical carbon dioxide, amines and organic halides in the presence of potassium carbonate and an onium salt (Bu₄NBr) as shown in Eq. (15) [131].



Carbon dioxide also reacts with amines and alcohols in the presence of an organotin catalyst and acetal as a dehydrating agent under a high CO₂ pressure to give the carbamate in a high yield as a halogen free process as shown in Eq. (16) [132].

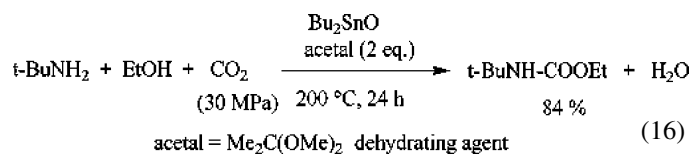


Table 6
Synthesis of cyclic carbonates from carbon dioxide and epoxides [118–128]

Catalyst	Epoxide	CO ₂ (MPa)	Temperature (°C)	Time (h)	TON	TOF (h ⁻¹)	Yield (%)	References
SalenCr(III)-co-catalyst ^a	Propylene oxide	100 psi	100	1	916	916		[118]
SalenCr(III)Cl	2,3-Epoxy-1,2,3,4-tetrahydronaphthalene	55 bar	80	12	12		20	[119]
SalenCr(III)N ₃ ⁻ -co-catalyst ^b	Cyclohexene oxide	34.5 bar	80	10	669.9	67	98.3	[120]
SalenAlCl- <i>n</i> -Bu ₄ NBr	Ethylene oxide	15–16	120	1		3070		[121]
Ni(PPh ₃) ₂ Cl ₂ /PPh ₃ /Zn- <i>n</i> -Bu ₄ NBr	Propylene oxide	2.5	120	1	3043		85	[122]
BMIm/BPy ^c	Propylene oxide	2	140	6	449.5		89.9	[123]
Mg–Al mixed oxides	Propylene oxide	5 atm	100	24			88	[124]
SmOCl-DMF	Propylene oxide	14	200	8			99	[125]
MgO	(<i>R</i>)-Styrene oxide	20 kg cm ⁻²	135	4			63 (93% ee)	[126]
Cs/Al ₂ O ₃	Ethylene oxide	550 psi	150	3			24	[127]
Ni(cyclam)Br ₂ e ⁻ , Mg anode KBr (supporting electrolyte) ^d	Styrene oxide	1 atm	rt	7 (60 mA)			92	[128]

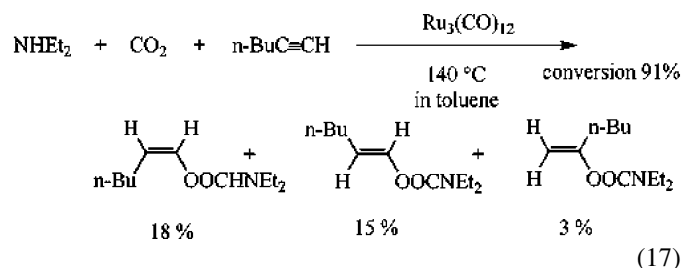
^a (4-Dimethylamino)pyridine.

^b (1*R*,2*R*)-Cyclohexenediimine.

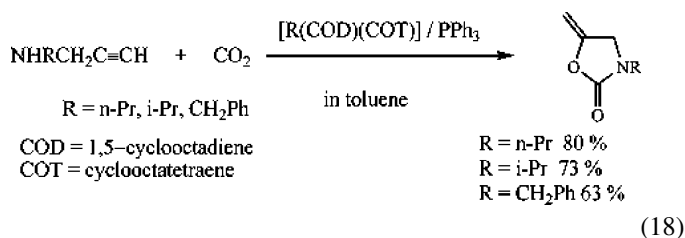
^c 1-*n*-Butyl-3-methylimidazolium/*n*-butylpyridinium.

^d Cyclam, 1,4,8,11-tetraazacyclotetradecane.

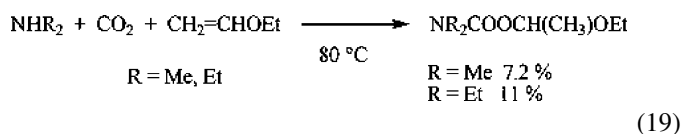
Carbon–carbon unsaturated compounds such as acetylenes and olefins react with carbon dioxide and amines to give the carbamic acid esters. For example, vinylcarbamates were prepared in the 91% conversion of hex-1-yne by the reaction of CO₂ with a secondary amine and an alkyne having terminal triple bond in the presence of a catalytic amount of Ru₃(CO)₁₂ as shown in Eq. (17) [135,136].



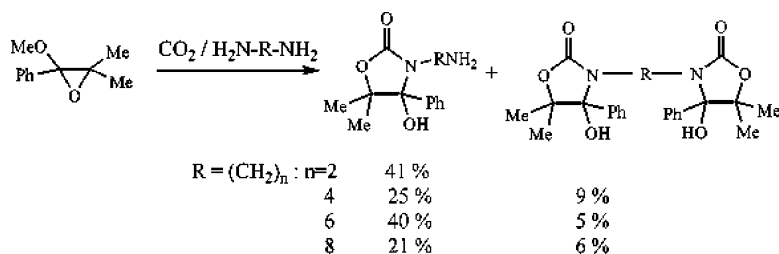
Cyclic carbamates are obtained in good yields by the reaction of CO₂ with N-substituted terminal propargylamines in the presence of a catalytic amount of (η⁴-1,5-cyclooctadiene)(η⁶-1,3,5-cyclooctatriene)ruthenium ([Ru(COD)(COT)]) and a tertiary phosphine in toluene as shown in Eq. (18) [137].



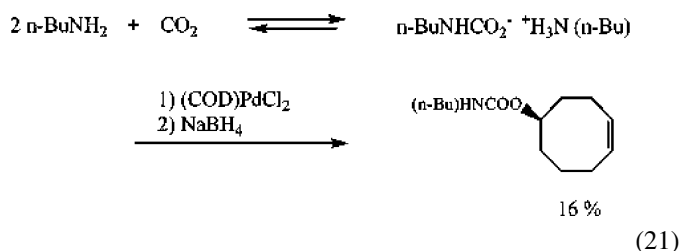
1-Ethoxyethyl carbamates were obtained directly by the reaction of ethyl vinyl ether with CO₂ and amines such as dimethylamine and diethylamine as shown in Eq. (19) [138].



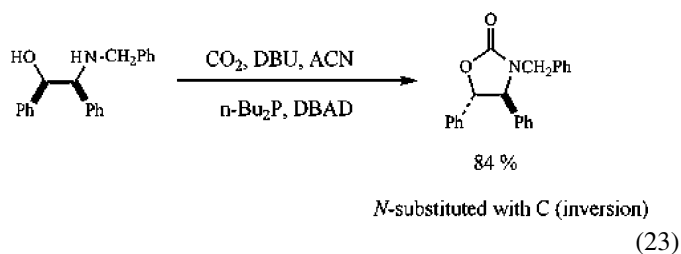
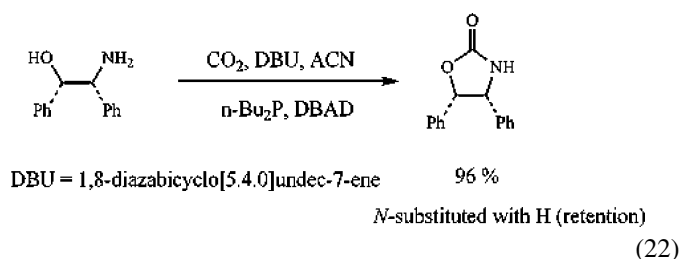
Epoxides react with carbon dioxide with primary or secondary amines under mild conditions to give hydroxycarbamates or cyclic carbamates [130,139]. For example, the reactions of 2-methoxy-3,3-dimethyl-2-phenyloxirane and CO₂ in the presence of an α,ω-diamine afford cyclic carbamates bis(2-oxazolidinone) derivatives as shown in Eq. (20) [140].

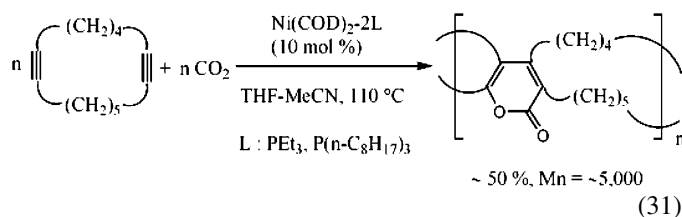
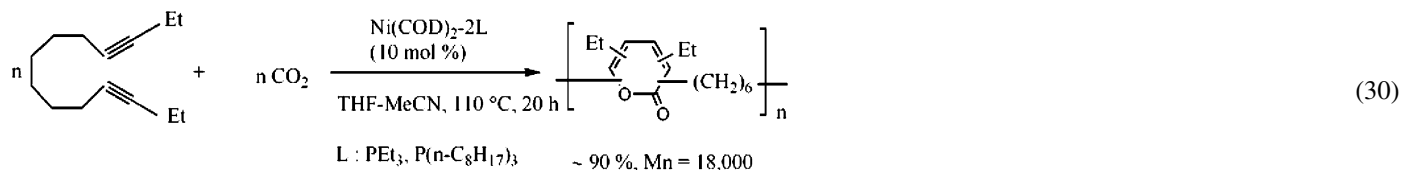


In the carbamic acid ester formation reaction (Eq. (14)), organometallic compounds are also used for one of organic moieties. For example, the addition of THF solution of (1,5-cyclooctadiene)palladium dichloride to a previously prepared solution of N-butylcarbamate at 35 °C, followed by quenching with added NaBH₄ in 2.5N NaOH gave the cyclooctenyl carbamate in 16% isolated yield as shown in Eq. (21) [141].

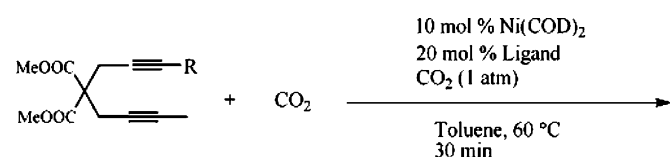


Cyclic carbamates are frequently employed as fragments in biologically active materials for pharmaceutical and agricultural uses. They are most commonly prepared from amino alcohols by its carbonylation using phosgene, by oxidative carbonylation using CO, etc. [142]. Amino alcohols react with carbon dioxide to give a carbamic acid intermediate with the unexpected stereoselectivity of the Mitsunobu transformation, and the stereochemical course of the Mitsunobu reaction [142a,142b,142c] is dependent on whether the carbamic acid intermediate is substituted with hydrogen (retention) or carbon (inversion) on its nitrogen atom. For example, the retention and inversion are shown in Eqs. (22) and (23), respectively [142].

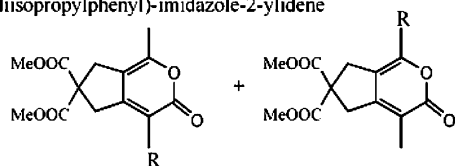




Recently, Louie et al. [150e,150f] reported on the synthesis of bicyclic lactones by the [2 + 2 + 2] cycloaddition of CO₂ and diynes in the presence of efficient nickel catalysts. For example, the use of *N*-heterocyclic carbene ligand (1,3-bis-(2,6-diisopropylphenyl)-imidazol-2-ylidene) provided a high regioselectivity when one terminal substituent on the diyne was large in size (*R* = *i*-Pr or TMS) as shown in Eq. (32) [150f].



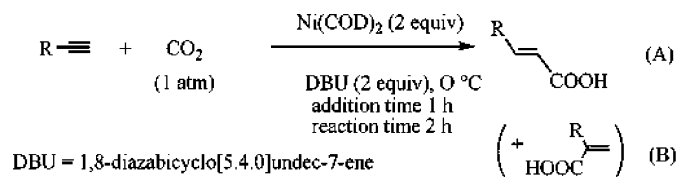
Ligand : 1,3-bis-(2,6-diisopropylphenyl)-imidazole-2-ylidene



R = <i>i</i> -Pr	80	20
R = TMS	100	0

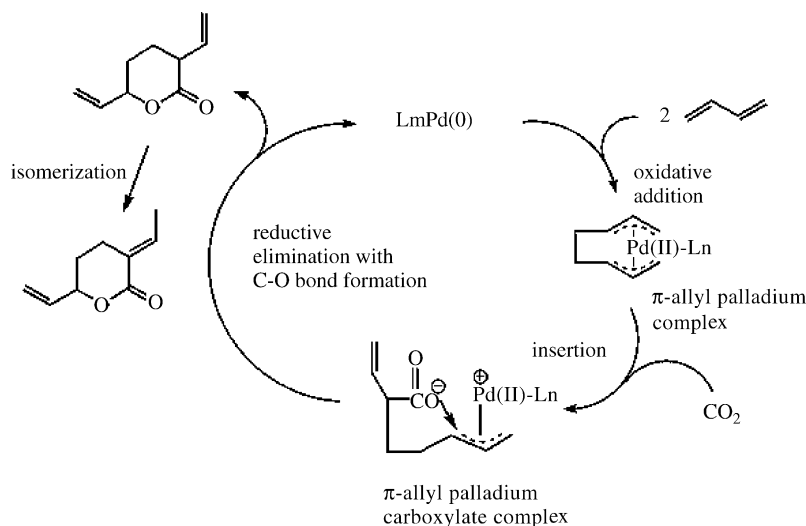
(32)

However, alkynes having a terminal triple bond easily reacted with carbon dioxide in the presence of a Ni(COD) and 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU), the reaction proceeded in a highly regio- and stereoselective manner, and an *E*-acrylic acid (A) was isolated as a sole product in most reactions in high yields as shown in Eq. (33) [151]. These reactions were also carried out under very mild conditions (CO₂ 1 atm, 0 °C) in the presence of a stoichiometric amount of conjugated enynes or diynes as shown in Eqs. (34) and (35), respectively.

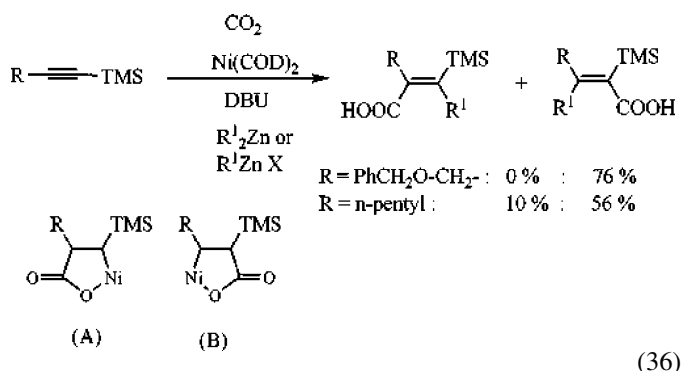
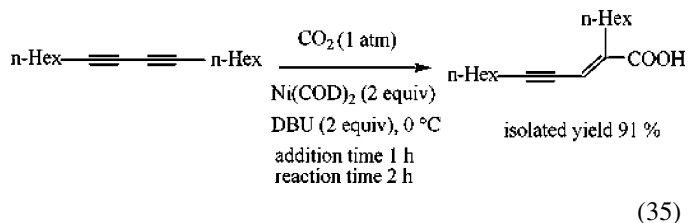
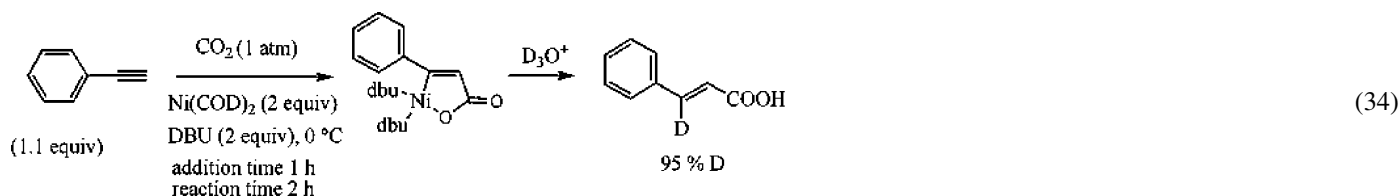


R = Ph : 85 % yield
R = 4-CF₃-C₆H₄ : 91 % yield

(33)

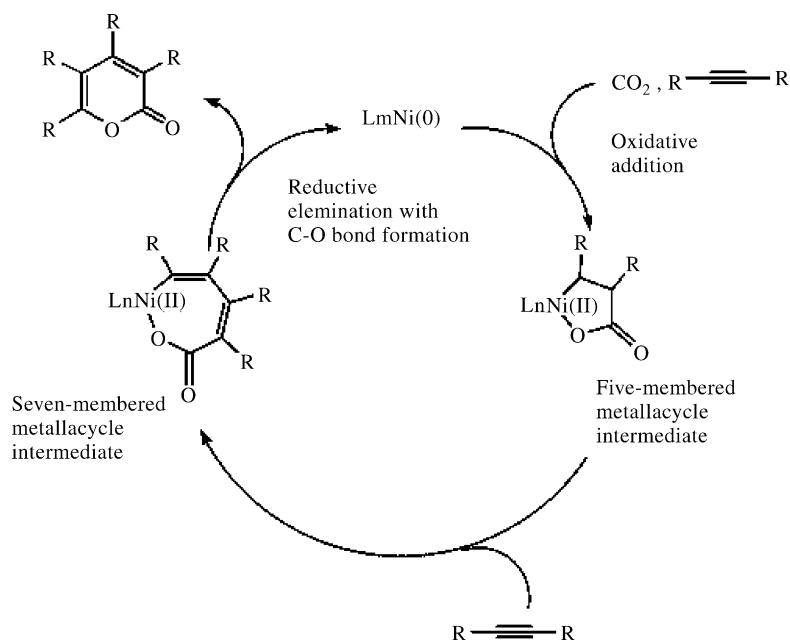


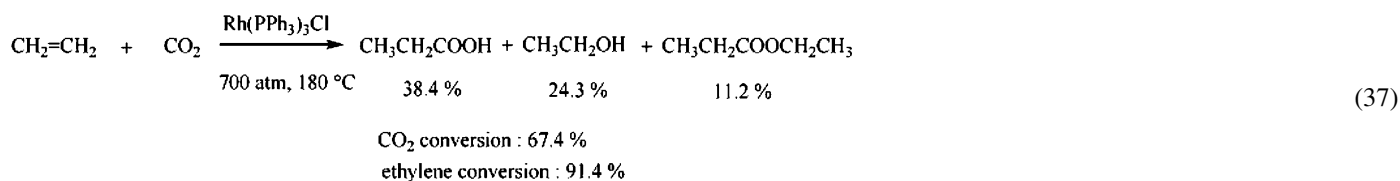
Scheme 6. [145]



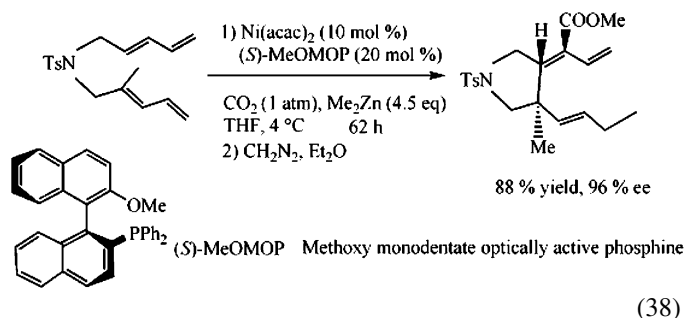
On the other hand, trimethylsilyl alkynes react with carbon dioxide and organozinc compounds using a catalytic amount of a nickel complex in the presence of an excess of DBU as shown in Eq. (36). The regioselectivity caused by the introduction of CO₂ into disubstituted alkyne is dependent on the electronic property of the substituents *R* and trimethylsilyl (TMS) group on the alkyne because the thermodynamic stability of oxanickelacycles A and B should be affected by the conjugation of the substituent *R* with the carbonyl group in A and B [152].

However, although simple olefins have a low reactivity, e.g., ethylene reacts with carbon dioxide in the presence of Wilkinson's catalyst ([RhCl(PPh₃)₃]), a promoter (HCl, HBr or HI) and distilled water as solvent. When the reaction was carried out at a pressure of 700 atm and 180 °C, propionic acid formed in 38.4% yield together with ethanol (24.3%) and ethylpropionate (11.2%), whereas the ethylene conversion reached 91.4% as shown in Eq. (37) [153]. But the electrochemical reactions of alkenes with carbon dioxide in the presence of nickel complexes yield the carboxylic acid in good yields [153a,153b]. Recently, with molybdenum phosphine catalysts, nickel bipyridine catalysts, etc., the coupling reactions of ethylene with carbon dioxide were reported together with the formation of the five-membered nickelacarbonylate complex toward the formation of acrylic acid in system [153c,153d].

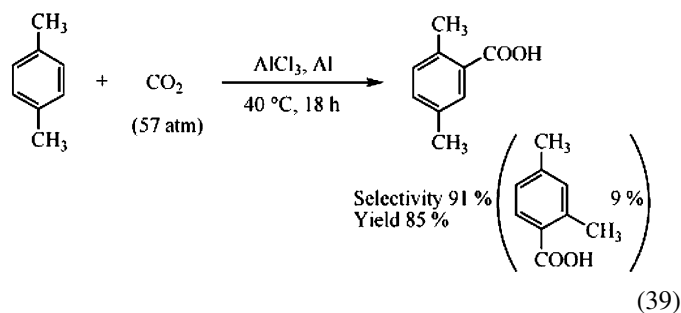




Ni(acac)₂ and arylphosphine catalyze the addition of CO₂ and diorganozinc to bis-1,3-diene under very mild conditions as shown in Eq. (38). The reaction performed at a lower temperature resulted in a high enantioselectivity. For example, methylative cyclization of unsymmetrical bisdiene in the presence of bulky phosphine (*S*)-MeO-MOP, proceeds at 4 °C with regioselective introduction of CO₂ into a less-substituted 1,3-diene moiety to afford the methyl ester in 88% yield and 96% ee as shown in Eq. (38) [154].

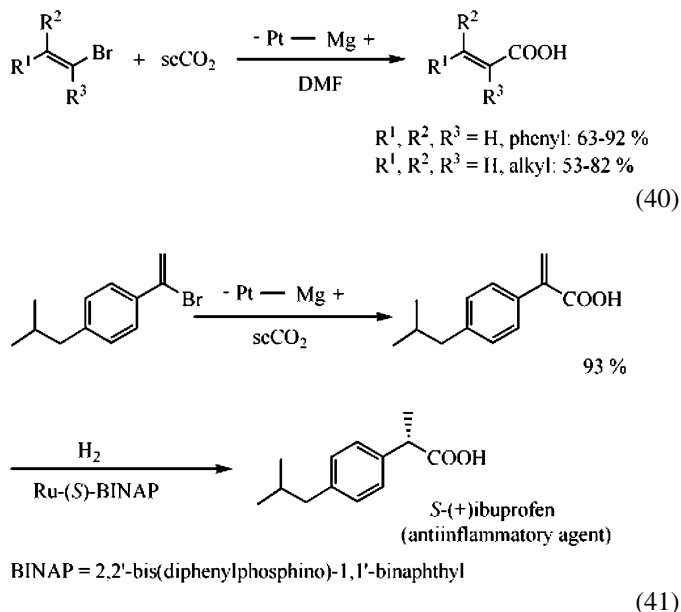


Recently, Olah et al. [155] reported the efficient chemoselective carboxylation of aromatics to arylcarboxylic acids with superelectrophilically activated carbon dioxide coordinated by Al and Cl atoms in AlCl₃ molecules. Aromatic carboxylic acids are synthesized in high yields by the carboxylation of aromatics with carbon dioxide and AlCl₃ at a moderate temperature. For example, the carbonylation of *p*-xylene affords benzene monocarboxylic acid derivatives as shown in Eq. (39) [155].



The electrochemical carboxylations of halides proceed in DMF solvent with a platinum cathode and magnesium anode under carbon dioxide atmosphere to give the carboxylic acid in good yields as shown in Eq. (40) [156]. These electrochemical reactions also proceed in the supercritical carbon dioxide by using a small amount of an organic solvent. For example, it was applied to the synthesis of antiinflammatory agent (*S*)-(+)

ibuprofen, by the carbonylation of alkylalkenylbenzene bromide as shown in Eq. (41) [156,156a].



6. Polymerization products

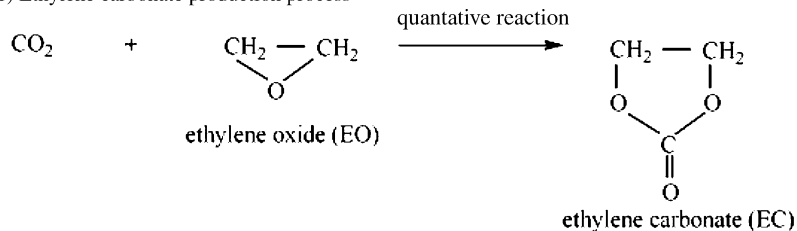
6.1. Polycarbonate (bisphenol-based engineering polymer)

Polymerization involving carbon dioxide is one of the most important utilizations of carbon dioxide. Polycarbonate formation without using phosgene, an alternating copolymerization with an epoxide, a condensation with benzenedimethanol and an alternating copolymerization with diynes, etc., can be exemplified. Especially, the polycarbonate formation without using phosgene, and the alternating copolymerization with the epoxide were already industrially applied.

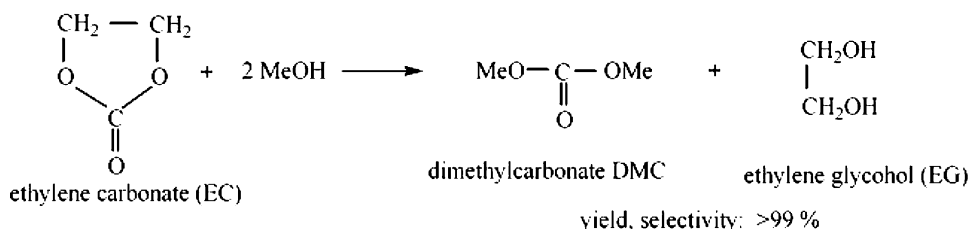
Asahi Chemical Industry already started the 50,000 tons/year commercial operation of a polycarbonate process without using phosgene and methylene chloride in June 2002 in Taiwan as a new environmentally benign process [157,158,158a]. The Asahi's polycarbonate process was carried out by the following four production steps: (1) ethylenecarbonate (EC), (2) dimethylcarbonate (DMC) and ethylene glycol (EG) and (3) diphenylcarbonate (DPC) and polymerization, as shown in Scheme 8 [157].

The DPC is manufactured by the reaction of DMC with phenol in the presence of Pb(OPh)₂ as a catalyst [158b].

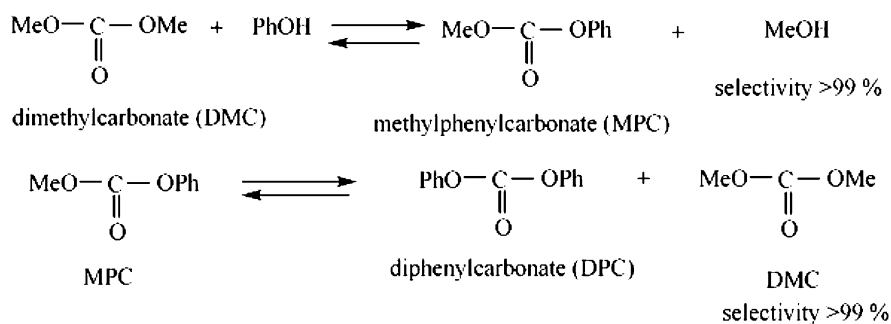
(1) Ethylene carbonate production process



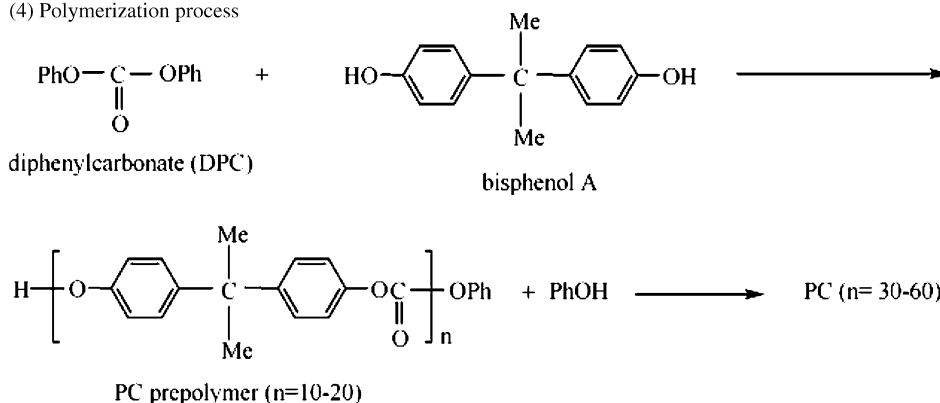
(2) Dimethylcarbonate and ethylene glycol production process



(3) Diphenylcarbonate production process



(4) Polymerization process



Scheme 8. Asahi polycarbonate process [157].

The polymerization proceeds with two processes. The first process is the solid-state polymerization of amorphous polymers in three steps: (1) pre-polymerization; the reaction of the DPC with bisphenol A to produce a clear amorphous prepolymer; (2) crystallization; the molten prepolymer is converted to a porous, white, opaque material by treating it with a solvent such as acetone; (3) solid-state polymerization; the crystallized prepolymer is heated at 210–220 °C under a flow of heated nitrogen, or under a reduced pressure to produce a solid

polymer. The second process is “self-mixing melt polymerization” utilizing gravity and without using a conventional twin-screw type reactor. The polymerization was carried out at 265 °C and 67 Pa. A prepolymer having a number average molecular weight of 6200 is polymerized to the molecular weight 11,700 [158b,158c]

This process has four excellent characteristics: (1) actual raw materials are CO₂, ethylene oxide and bisphenol A, and this process does not use toxic phosgene and the halogen

6.2. Aliphatic polycarbonates and other polymers

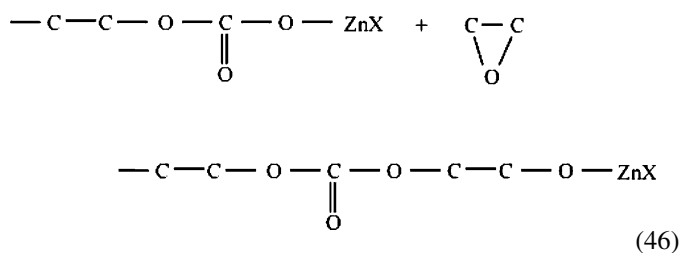
$$\text{—C—C—O—ZnX} + \text{CO}_2 \longrightarrow \text{—C—C—O—}\underset{\text{O}}{\underset{\parallel}{\text{C}}}\text{—O—ZnX} \quad (45)$$

cat.	Yield	M_n^*
Bu_3SnI_2	98	4,250
$\text{Bu}_2\text{SnI}_2\text{-PBu}_3$	89	2,100

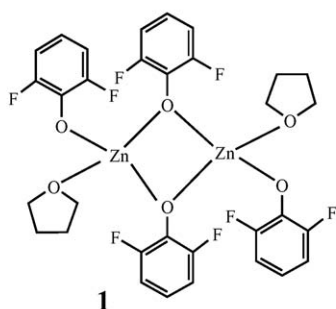
(* Vapor pressure method)

yield 100 %

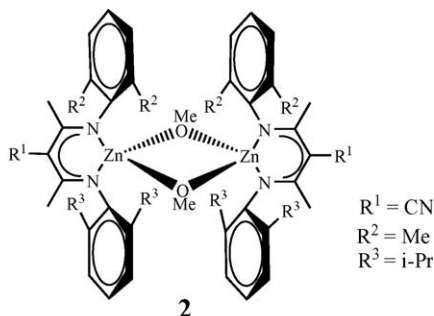
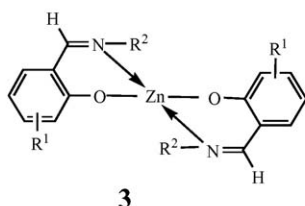
(42)

$$\text{RCH}-\underset{\text{O}}{\underset{|}{\text{CHR}'}} + \text{CO}_2 \xrightarrow[\text{Et}_2\text{Zn}/\text{H}_2\text{O} (1:1 \text{ mole ratio})]{30-50 \text{ atm, RT}} \text{-(CHR-CHR}'\text{-O-C(=O)-O)-}_n \quad (43)$$
$$m \text{ Et}_2\text{Zn} + m \text{ (benzene ring with OH groups at positions 1 and 3)} \longrightarrow \text{Et} \left[\text{Zn} - \text{O} - \text{(benzene ring)} - \text{O} \right]_m \text{OH} \quad (44)$$


Recently, many active zinc catalysts such as zinc phenoxides [167], bulky fluorophenoxides (C1) [168,169], zinc diimines (C2) [170–172] and zinc bis-Schiff bases (C3) [173] have been investigated and the production of a copolymer having a narrow molecular weight distribution ($M_w/M_n = 1.07\text{--}1.17$) and a high molecular weight ($M_w = \text{about } 420,000$) at a high TON (1441 g/g cat) were reported.



Bis-2,6-difluorophenoxide dimeric zinc complex [169]

 β -diiminate zinc alkoxide

zinc bis(salicylaldehyde) complex

$R^1 = 3,5\text{-t-Bu}$
 $R^2 = 2,6\text{-(i-Pr)}_2\text{C}_6\text{H}_3$

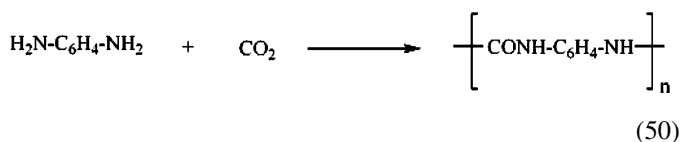
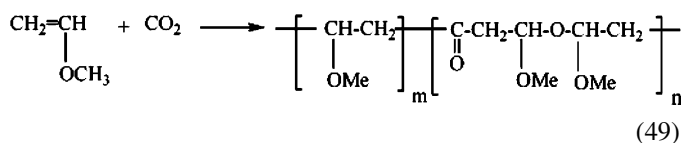
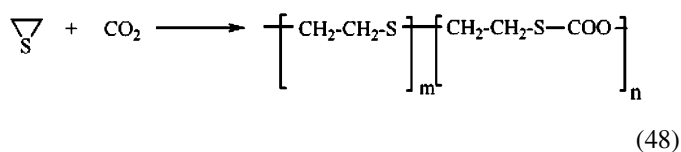
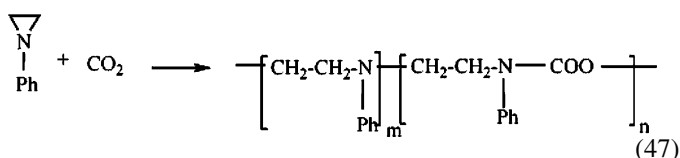
Furthermore, an asymmetric alternating copolymerization with (*S*)-diphenyl(pyrroline-2-yl)methanol zinc complexes [174] and β -diimine zinc catalysts [175–178] has been reported.

The other catalysts such as a zinc oxide-glutaric acid [179], an aluminium-porphyrin [180], a chromium-porphyrin [181], a zinc oxide-maleic anhydride-tridecafluorooctanol [182], a silica-immobilized zinc β -diimine [183], chromium salen complexes [184,185] and yttrium-aluminum complexes [186] were reported.

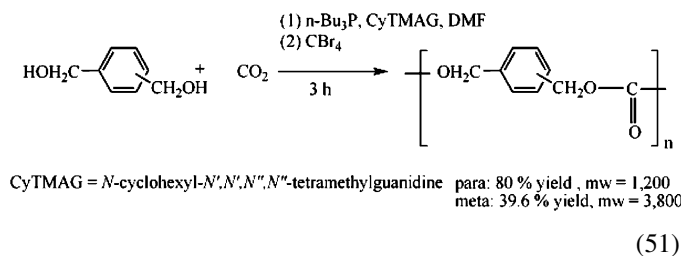
Coates et al. [186a,186b,186c] have recently published some nice work on the copolymerization of epoxides and carbon dioxide with salicyl cobalt catalysts. The polypropylene carbonate generated using these catalyst systems is highly regioregular and has up to 99% carbonate linkages with narrow molecular weight distribution. In the case of cocatalyst bis(triphenylphosphine)iminium chloride with (*R,R*)-salcyCo(pentafluorobenzoate), an unprecedented catalytic activity of 620 turnovers per hour is achieved for the copolymerization of *rac*-propylene oxide and carbon dioxide, yielding an iso-enriched polypropylene carbonate with 94% head-to-tail connectivity.

These alternating copolymers are biodegradable and have a high oxygen permeability. Therefore, this polymer is investigated for an application of sustained-release drug [187]. America PAC Polymer Ltd. manufactured the alternating copolymer of an epoxide with carbon dioxide [188].

Carbon dioxide is also polymerized with ethyleneimine (aziridine), epithioxide (three-membered ring thioether), a vinyl ether and an aromatic diamine as shown in Eqs. (47)–(50) [161,189].

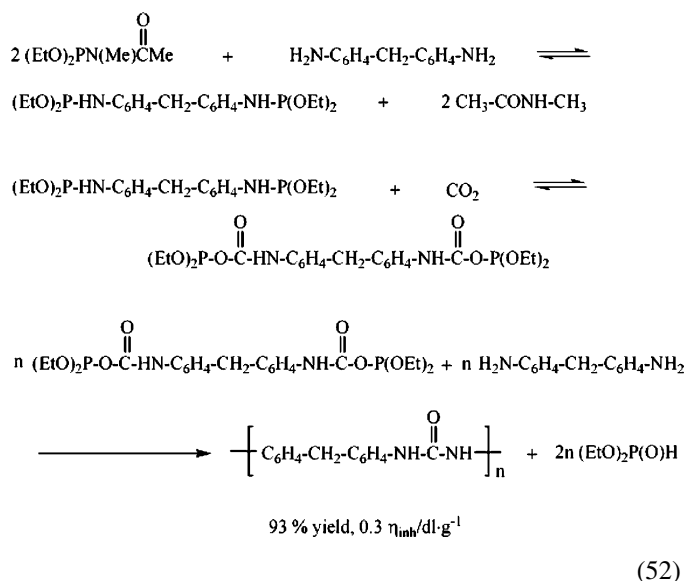


Carbon dioxide can directly condense with benzenedi-methanol in the presence of trisubstituted phosphine-carbon tetrabromide-2-cyclohexyl-1,1,3,3-tetramethylguanidine (CyTMG) system as a condensing agent to give a poly (oxycarbonyloxymethylenephénylmethylene) as shown in Eq. (51) [190,191].

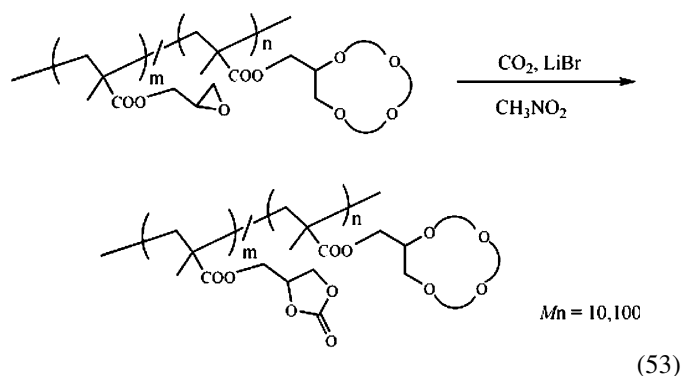


CyTMG = *N*-cyclohexyl-*N'*,*N''*,*N'''*-tetramethylguanidine para: 80 % yield, mw = 1,200
 meta: 39.6 % yield, mw = 3,800

Polyureas were prepared under mild conditions in high yields by the direct polycondensation of carbon dioxide with diamines in the presence of diethyl *N*-acetyl-*N*-methylphosphoramidites or its analogs containing *P*–*N* bonds as shown in Eq. (52) [192]. The highest yield was achieved with a phosphorous acid derivative containing a mixed anhydride unit.



Several kinds of methacrylate derivatives having a crown ether group (from 12-crown-4 to 16-crown-5) have been investigated for aiming at the fixation of carbon dioxide. The most efficient fixation of carbon dioxide in nitromethane solution was observed in the use of 14-crown-4 groups, in which oxirane groups were transformed into corresponding cyclic carbonate groups quantitatively using a lithium salt as a catalyst as shown in Eq. (53) [193].



7. Conclusions

The utilization of carbon dioxide as a possible starting material for the synthesis of chemicals is expected to be useful for improving the global warming problem. Actually, carbon dioxide is utilized mostly for urea as fertilizers. The other utilizations are very small in amounts. Because carbon dioxide

is a highly oxidized and thermodynamically stable compound, its utilization requires very active metal catalysts.

As promising industrial catalysts for carbon dioxide utilization reactions, there are both transition metal compounds and main-group metal compounds. For example, ruthenium phosphine compounds are used for the preparations of formic acid, formic acid methyl ester and formamide. On the other hand, dialkyltin compounds for dimethyl carbonate and ethyl carbamate, phenoxy lead for diphenyl carbonate, and zinc compounds for the alternating copolymerization of carbon dioxide and epoxide, are used as the catalysts of main-group metal compounds. Recently, AlCl_3 was reported as a good catalyst for the carbonylation of aromatic compounds under mild conditions.

New many catalysts are expected because carbon dioxide is able to react with various kinds of metal compounds and, further, also the catalysts showing high TONs are expected in the supercritical carbon dioxide solvent with many kinds of additives.

Acknowledgement

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